



Agents Qualification Procedure to participate in auctions at Interconnection Points with France and Portugal

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1 OBJECTIVE

The aim of this document is to describe in detail the procedure of enabling network users to participate in the auction processes to obtain capacity at the Interconnection Points with France and Portugal, through auctions that are carried out on PRISMA booking platform.

It includes the procedure that network users must do since they are registered on PRISMA booking platform until they fulfil the requirements to purchase capacity via an auction on the primary market. It also includes network users who could buy capacity on the secondary market.

2 SCOPE

This document is targeted towards users who want to have access to PRISMA platform for buying capacity by any allocation mechanisms and, in particular, through an auction on the primary market, as well as users who purchase capacity on the secondary market.

From an organizational point of view, this procedure applies not only to Economic and Commercial Management, in charge of running auctions, but also to Accounts Managers, from the Commercial and Logistics Operation Management, who are responsible directly for the relationship with Shippers. Both of them belong to Commercial and Logistics Direction (DLC). It also applies to all those departments of Enagás involved in the process of registering new customers, including the Technical Manager of the Gas System (GTS) and the Information Systems Direction (DSI).

3 REFERENCES

- Circular 1/2014, of February 12th: "Circular 1/2014, de 12 de febrero, de la Comisión Nacional de los Mercados y la Competencia, por la que se establecen los mecanismos de asignación de capacidad a aplicar en las conexiones internacionales por gasoducto con Europa".
- "Coordinated implementation of the Network Code on Capacity Allocation Mechanisms: Information Memorandum" (TIGF/REN).
- Standard Contract: "Contrato Marco para el Acceso al Sistema de Transporte y Distribución de Enagás Transporte, S.A.U. mediante Conexiones Internacionales por gasoducto con Europa".



- List of Natural Gas Shippers, published by CNMC according to article 80 of the Hydrocarbons Law, modified by Law 25/2009.

4 DEFINITIONS

Shipper: A transportation customer, marketer or local distribution company that holds the required procedures by the Ministry of Industry, Energy and Tourism (MINETUR) and the National Commission for Markets and Competition (CNMC), to be included on the list of Natural Gas Shippers in the Spanish system.

PRISMA booking platform: It is the joint capacity booking platform of major European Transmission System Operators (TSOs), in this case TIGF, REN and Enagás, at the interconnection points with France and Portugal.

TSO: Transmission System Operator.

Adjacent TSOs: REN and TIGF.

Information Memorandum (IM): "Coordinated implementation of the Network Code on Capacity Allocation Mechanisms" (TIGF/REN).

EIC Code: Energy Identification Coding Scheme. It is standardized and maintained by ENTSO-E, and provides a unique identification the market participants and other entities active within the Energy Internal European Market.

5 FLOW CHART

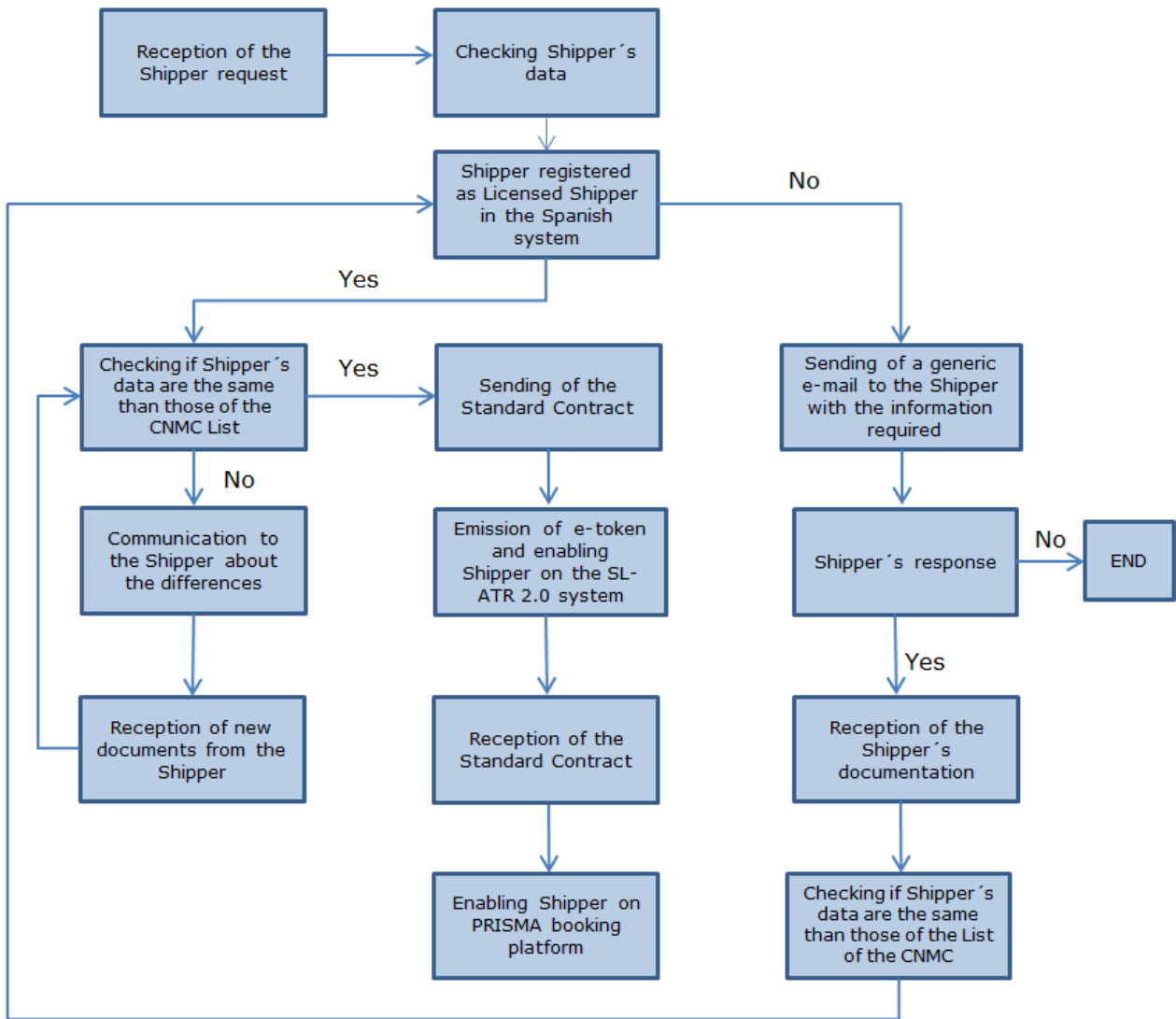


Figure 1 Flow Chart

6 PROJECT DEVELOPMENT

6.1 Registration to use PRISMA booking platform

The procedure begins when a Shipper enters the PRISMA booking platform to get access both as a Shipper and as a user. In this regard, Shipper registration process on PRISMA booking platform is detailed in the chart below:

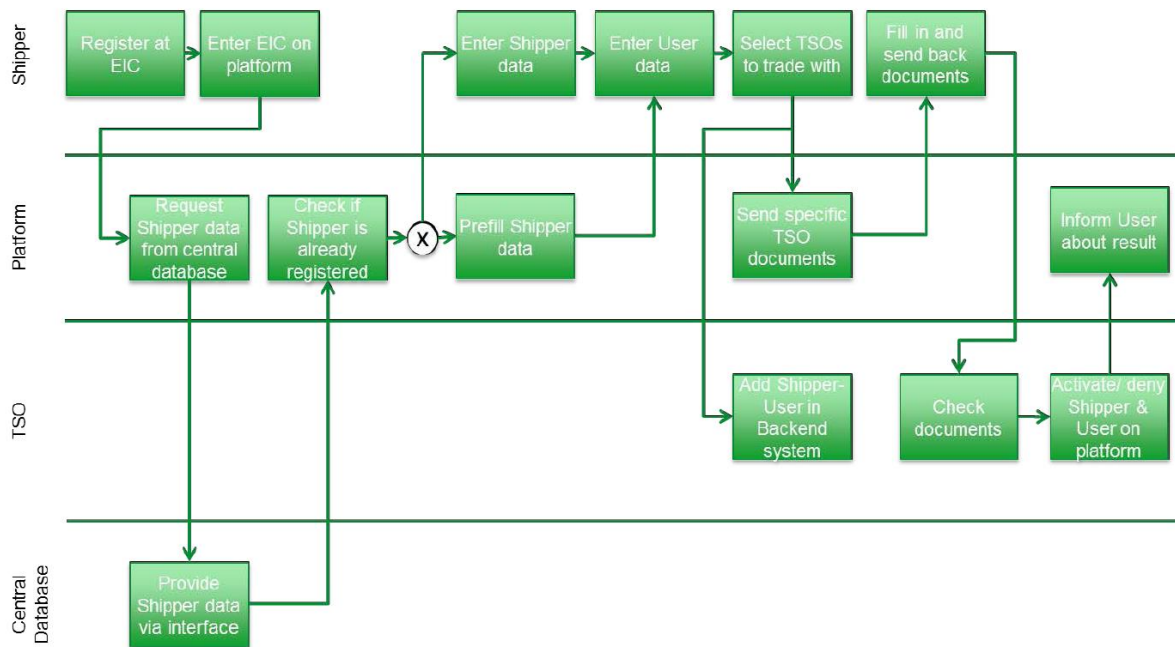


Figure 2 Shipper registration process with PRISMA

Each Shipper/Network User must be registered on PRISMA booking platform with each adjacent TSO in each Interconnection Point. Enagás, as a TSO, enables the Shipper (after the relevant data checks), as well as its Network Users who have done a request of access on PRISMA booking platform.

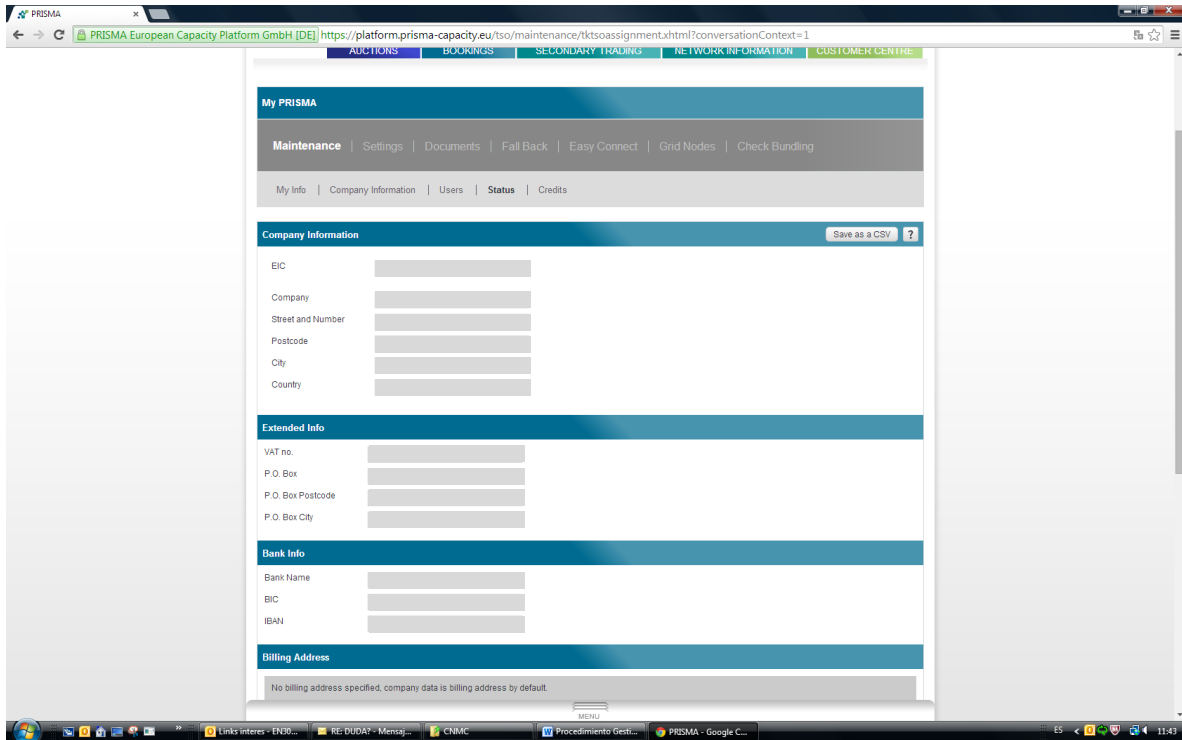


6.2 Notification of a new Shipper

When a Shipper selects Enagás as TSO for buying capacity in a determined Interconnection Point, PRISMA booking platform automatically sends an email to Enagás including the Shipper's data (Name and EIC Code), as well as its Network User's data, who is the responsible for making the request on PRISMA booking platform.

PRISMA booking platform also sends the documents included at PRISMA booking platform as "Mandate Check" to the Shipper. These documents are automatically sent by PRISMA booking platform to each Shipper, and include the documentation that each TSO considers useful for user. Enagás, in coordination with adjacent TSOs, has included the two documents called "Coordinated implementation of the Network Code on Capacity Allocation Mechanisms: Information Memorandum (IM)" as "Mandate Check". These are detailed documents where is set the way to participate in the processes of capacity allocation at the Virtual Interconnection Points: VIP.IBERICO and VIP.PIRINEOS. The Shipper receives both documents since the TSO enables not only the Shipper, but also Network Users indiscriminately to participate in all the capacity allocation mechanisms at all the Interconnection Points of the TSO.

Once Enagás receives this e-mail, Enagás enters on PRISMA booking platform to get access to the detail of the request, (see attached model):



The screenshot shows a web browser window displaying the PRISMA application. The browser address bar shows the URL: <https://platform.prisma-capacity.eu/tso/maintenance/tktsassignment.xhtml?conversationContext=1>. The page has a navigation menu with options: AUCTIONS, BOOKINGS, SECONDARY TRADING, NETWORK INFORMATION, and CUSTOMER CENTRE. Below the navigation is a 'My PRISMA' section with links for Maintenance, Settings, Documents, Fall Back, Easy Connect, Grid Nodes, and Check Bundling. There is also a 'My Info' section with links for Company Information, Users, Status, and Credits. The main content area is titled 'Company Information' and contains several input fields for: EIC, Company, Street and Number, Postcode, City, and Country. Below this is an 'Extended Info' section with fields for VAT no., P.O. Box, P.O. Box Postcode, and P.O. Box City. The 'Bank Info' section includes fields for Bank Name, BIC, and IBAN. The 'Billing Address' section has a note: 'No billing address specified, company data is billing address by default.' The browser's taskbar at the bottom shows several open applications, including 'Links Interes - EN30...', 'RE DUDA? Mensaj...', 'CNMC', 'Procedimiento Gest...', and 'PRISMA - Google C...'.

Figure 3 Application form

Then, Enagás checks if the data of the request are the same as those of the "List of Natural Gas of Shippers" published in the CNMC web page.

Link:

<https://www.cnmc.es/ambitos-de-actuacion/energia/mercado-gas#listados>

According to the point 4.1.1.: "Requirements to participate in auctions on the Spanish side" of the Information Memorandum, in order to be able to participate in the capacity allocation processes on PRISMA booking platform, Shippers shall:

- Be registered as licensed Shippers in the Spanish system, so they have to be included in the "List of Natural Gas Shippers" published by CNMC.



- Have signed the Standard Contract: "Contrato Marco para el Acceso al Sistema de Transporte y Distribución de Enagás Transporte, S.A.U. mediante Conexiones Internacionales por gasoducto con Europa".
- Have access to the SL-ATR platform and have at least one active user. Allocated capacity to a Shipper at PRISMA booking platform will be automatically introduced at the SL-ATR, thus this allocation will be binding for shippers.

In this sense, these are the documents that must have matching information:

- The request carried out by each Shipper at PRISMA booking platform.
- The "List of Natural Gas Shippers" published in the CNMC web page.
- The information of the Powers of Attorney sent by each Shipper to Enagás.
- The information of each Shipper in the SL-ATR system.

The EIC Code is unique for each Shipper, which allows confirm on PRISMA booking platform, that a capacity auction for bundled products made at the both sides of an Interconnection Point, has been done by the same Shipper. For this reason, it is essential to check that the data sent by that Shipper. That is the reason why it is very important to check that the data sent by the Shipper (Power of Attorney) and the data from the request sent to PRISMA booking platform are exactly the same than data on the CNMC List.

6.3 Shipper registered as licensed Shipper in the Spanish system

To participate in any auction carried out at PRISMA booking platform, Shippers must sign the Standard Contract, previously and regardless of the processes to develop with the adjacent TSOs. Once Enagás checks that the data of the request on PRISMA booking platform are the same than those of the List of the CNMC, Enagás sends to the Shipper the Standard Contract: "Contrato Marco para el Acceso al Sistema de Transporte y Distribución de Enagás Transporte, S.A.U. mediante Conexiones Internacionales por gasoducto con Europa". This document will be filled in with the data of the Power of Attorney sent by the Shipper in order to check the data sent by the Shipper is the same as those already informed.

At the same time, and in order to accelerate the processes with Enagás, it will manage the access to the Enagás systems:



- Information about the electronic certificate (e-token) for the use of the Enagás systems:

The Account Manager is in charge of sending the application form of the e-token issue. This request provides Enagás with the necessary information for the registration in the SL-ATR, as well as other Enagás Systems, like the electronic signature and billing.

- Issue of the e-token:

This stage is managed by the DSI. Once the DSI receives the application form duly completed by the Shipper, it starts the issue process of electronic certificates for the Network Users indicated by the Shipper. DSI prepares the Contract of the issue of the e-token indicating the headlines Network Users, and sends it to the Shipper for its visa and signature. DSI could not broadcast the requested e-token until having received a pair of copies of the initialize and signed document.

After that, DSI sends the e-token and the passwords of the platform for each requested Network User to the attorney-in-fact.

This step is essential, since like it is described in the Object of the Standard Contract signed by the Shipper before the auction, it will constitute an Appendix of the Contract each one of the bookings registered on the SL-ATR platform, according to the result of the Shipper's share contract on capacity auctions. The creation of this Appendix will be automatic.

- SL-ATR

It is the Logistics System for Third Party Access to the Network, which supports the full cycle gas management: capacity request, contracts, programming and nominations, measurements, distributions, balances and billing support.

If Shipper ticks this box on the application form of the emission of the e-token, DSI informs GTS for starting the required processes. This platform, as well as its access, is managed by the GTS.



(It could happen that a shipper is a new client for Enagás but not in the system since it is operating actively in other infrastructures different from Enagás Transporte. In this case both DSI and GTS have to confirm to Enagás Transporte the registration of the Shipper in the Systems)

6.4 Approval of the Shipper on PRISMA booking platform

Once Enagás checks that requirements (set in point 6.2 of this document) have been achieved, Shipper and Network Users are approved on PRISMA booking platform by Enagás.

Once Enagás enables a Shipper at PRISMA booking platform, this Shipper has to wait until next day to operate, because the platform needs 24 hours to update. After that, Shipper will be able to participate in any auction carried out at PRISMA booking platform.

PRISMA booking platform gives the chance to enable Shippers for buying capacity both in Primary market and Secondary. Currently, all the Shippers are enabled only in the Primary market.

6.5 Shipper not registered as licensed Shipper in the Spanish system

If Enagás receives a new Shipper request on PRISMA booking platform and its data are not the same than those of the "List of Natural Gas Shippers" of the CNMC, then Shipper is not registered as licensed Shipper in the Spanish system.

The Manager Customer Account is responsible for sending to "the new Shipper" a generic e-mail which contains information about the Spanish Gas system, instructions for registration in the system as a Shipper, regulations, tolls, relevant documentation and information about the infrastructures from Enagás.

This e-mail is structured as follows:

- Directions for registration in the system as a Shipper: <http://www.minetur.gob.es/energia/gas/requisitos/paginas/comercializador.aspx>
- Directions to get the digital certificate of Enagás for operating the SL-ATR system.



- Spanish Gas system Law:
 - RD 949/2001 y RD 1434/2002.
 - IET de peajes en vigor
 - NGTS
- Technical features of Enagás infrastructures.

In case the Shipper does not answer this e-mail, this procedure for enabling Shipper on PRISMA booking platform, ends here. If the exchange of information continues, a meeting with the Shipper is arranged, for a first contact. In this meeting, questions about the Spanish system are solved.

Once Enagás checks that the data of the request at PRISMA booking platform are the same than those of the List of the CNMC (after the procedures done by the Shipper), sends to the Shipper the Standard Contract: "Contrato Marco para el Acceso al Sistema de Transporte y Distribución de Enagás Transporte, S.A.U. mediante Conexiones Internacionales por gasoducto con Europa".

From this moment the procedure described in points 6.3 and 6.4 will follow.

6.6 Shipper registered as licensed Shipper in the Spanish system whose data are not the same than those of the List of the CNMC

Once Enagás checks that the data of the request on PRISMA booking platform are not the same than those of the List of the CNMC (coincidence between NIF/VAT, and Shipper's data: name and contact details), the Manager Customer Account contacts the Shipper to communicate which information is different. With the aim of having the same information than that of the List of the CNMC, Shipper must send a new Power of Attorney to Enagás, to MINETUR and to CNMC.

After the procedures done by the Shipper, and once Enagás has checked that the data of the request at PRISMA booking platform are the same than those of the List of the CNMC and the Power of Attorney, Enagás sends to the Shipper the Standard Contract: "Contrato Marco para el Acceso al Sistema de Transporte y Distribución de Enagás Transporte, S.A.U. mediante Conexiones Internacionales por gasoducto con Europa".

From this moment the procedure described in points 6.3 and 6.4 will follow.

6.7 Definition of the deadline for enabling Shippers

As described in this procedure, PRISMA booking platform needs 24 hours to be updated. On the other hand, according to the ENTSOG's calendar, annual yearly, annual quarterly and rolling monthly capacity auctions are celebrated on Monday. From the 1st November 2015, rolling day-ahead and within-day capacity auctions will be celebrated.

It is necessary to define a deadline for enabling Shippers by the TSO. In this sense, Enagás will take as a reference:

- The List of Natural Gas Shippers published by the CNMC 72 hours before the auction (for example: if an auction is celebrated on Monday at 9.00 hours, Enagás should look up the information of the CNMC published the Friday before at 9.00 hours, or the working-day before this Friday).
- Initialized and signed Standard Contracts received 72 hours before the auction.

6.8 Communication with Shippers during the auction

Once Enagás as TSO enables a Shipper to participate in the auctioning processes to obtain capacity on PRISMA booking platform, the Shipper is responsible of what it does in this platform. PRISMA booking platform manages each auction according to the ENTSOG's Calendar and the Network Code on Capacity Allocation Mechanisms. When the auction starts, Network Users of each Shipper can make and modify their biddings, until the closure of the price step. When the auction ends, PRISMA booking platform allocates the capacity based in the received biddings in each step. It also sends both to the Shipper and to Enagás, the capacity allocated and its price as the result of this allocation.

Nevertheless, and according to the DLC's objective, customer attention is our priority: Enagás checks that there is not any problem on PRISMA booking platform at the moment before the auction starts. In case of any incidence, Enagás contacts PRISMA and/or the Shipper affected to solve this incidence.

Once the auction starts, its control and the resolution of possible incidents, is the responsibility of PRISMA booking platform.



Any incident related to the participation of a Shipper in auctions, must be solved before the start of this, according to this procedure.

Due to the responsibility of PRISMA booking platform for the good running of the auctions, we do not see any point why Enagás has to consider "a priori" the cancellation of these auctions or its pause.